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Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER 33082M309

APPLICATION NO MI KIT WITZ SEP37 [F 20.5)

<u> </u>					
INTERNATIONAL APPLICATION NO. PCT/JP2004/013922			INTERNATIONAL FILING DATE September 24, 2004	PRIORITY DATE CLAIMED September 24, 2003	
TITLE OF INVENTION HEAT TREATMENT APPARATUS					
AF	APPLICANT(S) FOR DO/EO/US Masahiro SHIMIZU, et al. CUSTOMER NO. 00441				
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
1.	$\boxtimes$	This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.			
2.		This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.			
3.	$\boxtimes$	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.			
4.		The US has been elected (Article 31).			
5.	$\boxtimes$	A copy of the International Application as filed (35 U.S.C. 371(c)(2))			
	<ul> <li>a.  is attached hereto (required only if not communicated by the International Bureau).</li> <li>b.  is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ul>				
6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).				C. 371(c)(2)).	
	a. is attached hereto.				
	b. has been previously submitted under 35 U.S.C. 154(d)(4).				
7. Amendments to the claims of the International Application under PCT Article 34 (35 U.S.C. 371(c)(3))			35 U.S.C. 371(c)(3))		
	٠.	a.   are attached hereto (required only if not communicated by the International Bureau).			
b. have been communicated by the International Bureau.					
		c.  have not been made; however, the time limit for making such amendments has NOT expired.			
d. have not been made and will not be made.					
8.	An English language translation of the amendments to the claims under PCT Article 34 (35 U.S.C. 371(c)(3)).			cle 34 (35 U.S.C. 371(c)(3)).	
9	9 An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).				
10.		An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).	annexes of the International Preliminary Ex	xamination Report under PCT	

## Items 11 to 20 below concern document(s) or information included:

- 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. A preliminary amendment.
- 14. An Application Data Sheet under 37 CFR 1.76.
- 15. A substitute specification.

1

- 16. A power of attorney and/or change of address letter.
- 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.
- A second copy of the published International Application under 35 U.S.C. 154(d)(4).
- 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- Other items or information: PCT/ISA/210; PCT/IB/301, PCT/IB/304, PCT/IB/308; PCT/IB/332; PCT/IPEA/401

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## IAP9 Rec'd PCT/PTO 22 MAR 2006

PTO-1390 (Rev. 02-2005)

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INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER PCT/JP2004/013922 33082M309 PTO USE ONLY CALCULATIONS The following fees are submitted: Basic national ......\$300 **Examination Fee** If international preliminary examination report prepared by USPTO and all claims satisfy provisions of \$ 200 PCT Article 33(1)-(4) ......\$100 All other situations .......\$200 23. Search fee Search fee (37 CFR 1.445(a)(2) has been paid on the international application to the USPTO as an International Searching Authority ......\$100 \$ 400 International Search Report prepared and provided in the Office ......\$400 All other situations ......\$500 TOTAL OF 21, 22 and 23 = \$ 900 Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. RATE **Total Sheets** Extra sheets Number of each additional 50 or fraction thereof (round up to a whole number) \$0 0/50 = - 100 = \$250 Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest \$ claimed priority date (37 CFR 1.492(e)). NUMBER FILED NUMBER EXTRA \$ **CLAIMS** RATE \$ 0 \$50 Total claims 20 = 0 \$200 Independent claims ¥ \$ \$ + \$ MULTIPLE DEPENDENT CLAIM(S) (if applicable) TOTAL OF ABOVE CALCULATIONS = \$ 900 Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. \$ \$900 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). **TOTAL NATIONAL FEE =** \$900 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied \$ by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property **TOTAL FEES ENCLOSED =** \$900 Amount to be \$ refunded: Amount to be \$ charged: to cover the above fees is enclosed. A check in the amount of \$ 900 to cover the above fees. b. Please charge my Deposit Account No. in the amount of \$ A duplicate copy of this sheet is enclosed.  $\boxtimes$ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit C. . A duplicate copy of this sheet is enclosed. Account No. 02-4300 Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not d. 🔲 be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: **SIGNATURE** Smith, Gambrell & Russell Michael A. Makuch 1850 M Street, N.W., Suite 800 Washington, DC 20036 NAME Attention: Michael A. Makuch, Esq. 32,263 REGISTRATION NUMBER FORM PTO-1390 (REV. 02-2005) Page 2 of 2